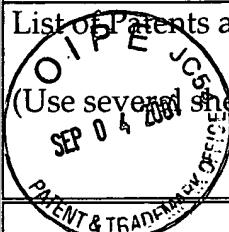


Form PTO-1449 (Modified)				Atty Docket No.: 04667.P005	Serial No.: 09/872,938			
List of Patents and Publications Statement (Use several sheets if necessary) 				Applicants: Michael R. Lynch	RECEIVED SEP 07 2001 Technology Center 2100			
				Filing Date: May 31, 2001				
REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS				
Exam. Initial		Date	Document Number	Name	Class	Sub-Class	Filing Date	
FOREIGN PATENT DOCUMENTS								
No.		Document No.	Date	Country	Name	Class	Sub-Class	Trans-lation
OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)								
<i>ln</i>		Autonomy, Technology White Paper, September 1997, pages 1-17. www.autonomy.com						
		Autonomy, XML White Paper, November 2000, pages 1-9. www.autonomy.com						
		Autonomy – Adding Intelligence to the XML, November 2000, pages 1-3. http://www.autonomy.com/autonomy/dynamic/autopage466.shtml						
		Autonomy, FIRST TO FULLY AUTOMATE XML TAGGING, June 1998, pages 1-2. http://www.autonomy.com/autonomy/dynamic/autopage150.shtml						
		P.J. Rayner and M.R. Lynch, ICASSP 90 volume 2 S2 VA, Speech Processing 2 VLSI Audio and Electroacoustics, Complexity Reduction in Volterra Connectionist Modelling by Consideration of Output Mapping, April 3-6, 1990, pages 885-888.						
		M.R. Lynch, P.J. Rayner and S.B. Holden, ICASSP 91 volume 3 D, Digital Signal Processing, Removal of Degeneracy in Adaptive Volterra Networks by Dynamic Structuring, May 14-17, 1991, pages 2069-2072.						
<i>ln</i>		Autonomy, Kenjin, Bring autonomy's technology to your PC, March 2001 http://www.autonomy.com/autonomy/dynamic/autopage203.shtml						
Examiner	<i>ln</i>			Date Considered <i>2/8/04</i>				
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant								

Examiner Signature		Date Considered 7/8/04	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.**

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(use as many sheets as necessary)

<i>Complete if Known</i>	
Application Number	09/872,938
Filing Date	May 31, 2001
First Named Inventor:	Michael R. Lynch
Art Unit	2171
Examiner Name	N/A
JUL 23 2003	
Sheet	2
of	2
Attorney Docket Number	

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Sheet 2 of 2 Attorney Docket Number 004667.P005 Technology Center 2100

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
LR		HAYKIN, S., <u>Adaptive Filter Theory</u> , Englewood Cliffs, N.J.: Prentice-Hall, 1986, Chapters 5 and 8.	
		IVANKHNENKO, A. "Heuristic Self-Organization Problems of Engineering Cybernetics Automatica," Vol. 6, March, 1970, pp. 207-219.	
		KLEMA, V., et al., "The Singular Value Decomposition: Its Computation and Some Applications," IEEE Trans. AC, Vol. AC-25, No. 2, April 1980, pp. 164-176.	
		POWELL, M.J.D., <u>Radial Basis Function Approximations to Polynomials</u> , Proc. Department of Applied Mathematics and Theoretical Physics, <u>Numerical Analysis</u> , 1987.	
		RAYNER, P. et al., "A New Connectionist Model Based on a Non-Linear Adaptive Filer Process," ICASSP89, April, 1989.	
		SCHETZEN, Martin, <u>The Volterra and Weiner Theories of Non-Linear Systems</u> , New York, New York: John Wiley, 1980, Chapter 5.	
LR		XUE, Q., et al., "Analysis of the Hidden Units of the Multi-Layer Preceptron and its Application in Acoustic-to-Articulatory Mapping," Proc. ICASSP90, April, 1990.	

Examiner
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Considered

2/8/04

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